



PATENTS  
15311-2285U1

BS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
Roger D. Pannell )  
Serial No.: 09/944,517 )  
Filed: August 31, 2001 )  
For: SYSTEM AND METHOD FOR )  
INCREASING THE COUNT OF )  
OUTSTANDING SPLIT )  
TRANSACTIONS )  
Examiner: Not yet assigned  
Art Unit: 2182

RECEIVED  
NOV 02 2001  
Technology Center 2100

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
(617) 951-2500

CERTIFICATE OF MAILING

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on October 16, 2001.

Safiya Jarvis

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

ATTN: Application Processing Division - Customer Correction Branch

Sir:

REQUEST TO CORRECT FILING RECEIPT

Applicant hereby requests that the Filing Receipt mailed October 4, 2001 in the above-identified application be corrected. In particular, applicant requests that the Filing

PATENTS  
15311-2285U1

Receipt be corrected to reflect that the application also claims priority from U.S.

Provisional Patent Application Serial No. 60/304,167 filed August 31, 2000. A copy of the Filing Receipt with the proposed change is enclosed.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§1.16 and 1.17 that may be required, or credit any overpayment, to our Deposit Account No. 03-1237.

Respectfully submitted,



Michael R. Reinemann  
Reg. No. 38,280  
Cesari and McKenna  
88 Black Falcon Avenue  
Boston, MA 02210  
(617) 951-2500



O/IPE  
OCT 18 2001

15311-2285 U1

MRK

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,517	08/31/2001	2182	710	15311-2285U1	7	15	2

RECEIVED

Oct 09 2001

CESARI & MCKENNA

1A

CONFIRMATION NO. 3324

FILING RECEIPT



\*OC000000006849210\*

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

Applicant(s)

Roger D. Pannell, Nashua, NH;

NOV 02 2001

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/229,830 08/31/2000 and 60/304,167 8/31/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/04/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

Title

System and method for increasing the count of outstanding split transactions

Preliminary Class

710



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED  
NOV 02 2001  
Technology Center 2100